

Message Text

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ORIGIN EB-07

INFO OCT-01 EUR-12 ISO-00 SEC-01 USIA-15 /036 R

DRAFTED BY EB/IFD/OMA: T A FORBORD

APPROVED BY EB/IFD/OMA: C C CUNDIFF

EUR/CE: K KURZE (SUBS)

EUR/NE- K SHIRLEY (SUBS)

EUR/NE: S WORREL (SUBS)

EUR/RPE: R GELBARD (SUBS)

EUR/WE: E BEIGEL (SUBS)

SEC: T RIELLY (SUBS) EUR:RDVINE

USIA/IEU: J KORDEK (SUBS)

EUR/CE: S KLINGAMAN (SUBS)

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FM SECSTATE WASHDC

TO AMCONSUL AMSTERDAM PRIORITY

AMEMBASSY BERLIN PRIORITY

AMEMBASSY BRUSSELS PRIORITY

AMEMBASSY LONDON PRIORITY

AMEMBASSY LUXEMBOURG PRIORITY

AMEMBASSY PARIS PRIORITY

AMEMBASSY BERN PRIORITY

INFO AMEMBASSY THE HAGUE

AMEMBASSY BONN

UNCLAS STATE 204345

E.O. 11652: N/A

TAGS: EFIN

SUBJECT: EUROPEAN VISIT OF SEC CHAIRMAN HILLS

1. CHAIRMAN RODERICK M. HILLS OF THE SECURITIES AND EX-
CHANGE COMMISSION IS PLANNING A TRIP TO EUROPEAN COUNTRIES
IN EARLY OCTOBER. COUNTRIES WHICH MAY BE VISITED INCLUDE
UNITED KINGDOM, SWITZERLAND, GERMANY, FRANCE, BELGIUM, THE
NETHERLANDS, AND LUXEMBOURG. THE PURPOSE OF THE TRIP IS
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(1) TO DISCUSS CURRENT MUTUAL PROBLEMS RELATING TO INTER-

NATIONAL SECURITY MATTERS WITH REGULATORY AND OTHER AUTHORITIES IN EUROPE, (2) TO IDENTIFY AND DISCUSS LONG RANGE PROBLEMS, AND (3) TO REGULARIZE AND INSTITUTIONALIZE RELATIONSHIP BETWEEN SEC AND APPROPRIATE BODIES IN EUROPE INVOLVED IN SECURITIES MATTERS. A PROPOSED ITINERARY AND ADDITIONAL DATA WILL BE SENT TO POSTS IN THE NEXT SEVERAL DAYS.

2. SEC HAS IDENTIFIED ORGANIZATIONS AND PERSONS CHAIRMAN HILLS MIGHT SEE AND REQUESTS THE FOLLOWING ADVICE ON SAME:

(A) CORRECT NAME, SPELLING, AND TITLE OF PERSONS IDENTIFIED, (B) POSTS' VIEWS ON WHETHER PERSONS IDENTIFIED ARE CORRECT ONES, (C) POSTS' SUGGESTIONS ON OTHER APPROPRIATE ORGANIZATIONS AND PERSONS CHAIRMAN HILLS SHOULD VISIT, (D) NAME OF CONTROL OFFICER AND SUGGESTIONS FOR BRIEFINGS AT POST.

3. PERSONS AND ORGANIZATIONS IDENTIFIED TO DATE:

A. LONDON

(1) LONDON STOCK EXCHANGE

MR. NICHOLAS P. GOODISON, CHAIRMAN
MR. ROBERT FELL, CHIEF EXECUTIVE
MR. JEFFREY KNIGHT, DEPUTY CHIEF EXECUTIVE

(2) BANK OF ENGLAND

GOVERNOR GORDON RICHARDSON
E. B. BENNETT
HEAD OF THE EXCHANGE CONTROL DEPARTMENT

(3) DEPARTMENT OF TRADE AND INDUSTRY

PLEASE SUGGEST
PHILIP A. BROWN, DEPUTY SECRETARY, COMPANIES
DEPARTMENT
M. D. CUDDY, (TITLE), UNIT TRUST SECTION

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(4) CITY PANEL ON MERGERS AND TAKEOVERS

SIR HARTLEY SHAWCROSS (TITLE)
MARTIN HARRIS DIRECTOR GENERAL
PETER FRAZER, DEPUTY GENERAL

(5) INSTITUTE OF CHARTERED ACCOUNTANTS

PLEASE SUGGEST; E.G. PRESIDENT
JOHN HOUGH, EXECUTIVE SECRETARY

(6) ASSOCIATION OF ISSUING HOUSES

PLEASE SUGGEST

(7) BOARD OF TRADE

PLEASE SUGGEST

(8) ORGANIZATIONS DEALING WITH FINANCING OF SMALL
BUSINESSES

(WE HAVE HEARD OF AN ORGANIZATION NAMED "ICFC")

B. PARIS

(1) COMMISSION DES OPERATION DE BOURSE (COB)
DONNEDIEU DE VABRES, PRESIDENT
GILBERT MOURRE, SECRETARY GENERAL
BERNARD MIRAT, SECRETARY GENERAL ADJUNCT

(2) COMPANIE DES AGENTS DE CHANGE
DANIEL PETIT

(3) BOURSE

PLEASE SUGGEST

(4) OECD

PLEASE SUGGEST SENIOR PERSONS
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RAYMOND BERTRAND
DIRECTOR, FINANCIAL AND FISCAL AFFAIRS
A.F.K. SCHLEPPGREGELL
HEAD OF INVISIBLE TRANSACTIONS AND CAPITAL MOVE-
MENTS DIVISION

(5) MINISTRY OF ECONOMICS AND FINANCE

PLEASE SUGGEST

C. BERLIN

BASIC ISSUE TO BE DISCUSSED: MUTUAL FUNDS

(1) BUNDESAUFSICHTSAMT FUR DAS KREDITWESEN

(BANKING COMMISSION)

DR. F.N.U. MULLER
PLEASE SUGGEST

(2) BUNDESKARTELLAMT

PLEASE SUGGEST

D. BRUSSELS

(1) EEC

PLEASE SUGGEST

(2) COMMISSION DE LA BOURSE

PLEASE SUGGEST

(3) COMMISSION BANCAIRE

PLEASE SUGGEST

(4) OTHER

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E. AMSTERDAM

(1) AMSTERDAM STOCK EXCHANGE

PLEASE SUGGEST

(2) MINISTRY OF FINANCE

PLEASE SUGGEST

(3) VEREENIGING VOOR DUN EFFECTENHANDEL

PLEASE SUGGEST

F. LUXEMBOURG

(1) BANKING COMMISSION

ALBERT DONDELINGER

(2) OTHER

PLEASE SUGGEST

G. BERN

POSSIBLE VISIT TO SWITZERLAND SUBJECT SEPTTEL.

4. IN ADDITION TO THESE MEETINGS, CHAIRMAN HILLS IS PREPARED TO ACCEPT APPROPRIATE SPEAKING ENGAGEMENTS DURING VISITS. ALTHOUGH IT WILL NOT BE POSSIBLE TO ARRANGE SPEECHES UNTIL ITINERARY IS ESTABLISHED, POSTS' SUGGESTIONS OF POSSIBLE FORA WOULD BE APPRECIATED. USIA WILL SEND SEPARATE MESSAGE TO PAOS REQUESTING COORDINATION WITH CONTROL OFFICER. KISSINGER

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Margaret P. Grafeld
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TAGS: EFIN, NL, BE, UK, LU, FR, SZ, WB, (HILLS, RODERICK M)
To: AMSTERDAM
BERLIN
MULTIPLE
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